



SUBSTITUTE PTO/SB/21 (02-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

TRANSMITTAL FORM  (to be used for all correspondence after initial filing)	Application Number	10/696,047	
	Filing Date	October 29, 2003	
	First Named Inventor	Michael A. DellaVecchia	
	Art Unit	3761	
	Examiner Name		
Total Number of Pages in This Submission	5	Attorney Docket Number	P1145/20005

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form (In duplicate) <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 <input type="checkbox"/> Assignment and Cover Sheet	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <div style="border: 1px solid black; padding: 2px; margin-top: 5px;">Remarks</div>	<input type="checkbox"/> After Allowance communication to Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please Identify below): <ul style="list-style-type: none"> <li>- PTO Form 1449A</li> <li>- Return Receipt Postcard</li> </ul>

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Caesar, Rivise, Bernstein, Cohen & Pokotilow, Ltd. Frank M. Linguiti, Esq.
Signature	
Date	3/22/04

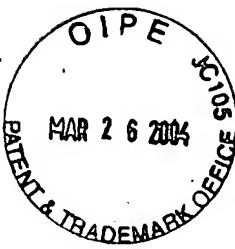
### CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Frank M. Linguiti, Esq.	
Signature		Date

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
PATENT EXAMINING OPERATION

Applicants: Michael A. DellaVecchia, et al.  
Serial No: 10/696,047 Group Art Unit:  
Filed: October 29, 2003 Examiner:  
Att. Docket No.: P1145/20005 Confirmation No.: 5839

For: DETERMINING IRIS BIOMETRIC AND SPATIAL ORIENTATION OF AN  
IRIS IN ACCORDANCE WITH SAME

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom. No representation is made that the references are prior art with respect to this application.

This Information Disclosure Statement is being filed within three months of the filing date of a national application other than a CPA under 37 CFR § 1.53(d), within three months of the date of entry of the national stage as set forth in 37 CFR § 1.491 in an international application, before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of an RCE under 37 CFR § 1.114. No certification or fee is required. 37 CFR § 1.97(b).

This application is a U.S. national patent application filed after June 30, 2003 or an international application that has entered the national stage under 35 U.S.C. § 371 after June 30, 2003. Thus, a copy of each cited U.S. patents and/or U.S. patent applications is not attached. 37 CFR 1.491(b).

Respectfully submitted,

March 22, 2004

Please charge or credit our Account No. 03-0075 as necessary to effect entry and/or ensure consideration of this submission.

CAESAR, RIVISE, BERNSTEIN,  
COHEN & POKOTILOW, LTD.

By Frank Linguiti  
Frank M. Linguiti  
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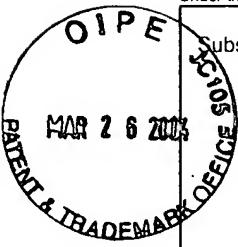
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## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

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Sheet 1 of 3

Complete if Known	
Application Number	10/696,047
Filing Date	October 29, 2003
First Named Inventor	Michael A. DellaVecchia
Group Art Unit	3761
Examiner Name	
Attorney Docket Number	P1145/20005

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code (if known)		
		4,641,349		Flom, et al.	02/03/1987
		5,291,560		Daugman	03/01/1994
		5,359,669		Shanley, et al.	10/25/1994
		5,719,950		Osten, et al.	02/17/1998
		5,777,719		Williams, et al.	07/07/1998
		5,912,731		DeLong, et al.	06/15/1999
		5,949,521		William, et al.	09/07/1999
		6,007,204		Fahrenkrug, et al.	12/28/1999
		6,019,472		Koester, et al.	02/01/2000
		6,086,204		Magnante	07/11/2000
		6,095,651		Williams, et al.	08/01/2000
		6,143,011		Hood, et al.	11/07/2000

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T
		Office	Number	Kind Code (If known)			

## **OTHER DOCUMENTS - NON PATENT LITERATURE DOCUMENTS**

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Sheet 2 of 3

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		Number	Kind Code (if known)		
		6,155,684		Bille, et al.	12/05/2000
		6,289,113 B1		McHugh, et al.	09/11/2001
		6,305,803 B2		Sumiya	10/23/2001
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		6,594,377		Kim, et al.	07/15/2003
		6,614,919		Suzuki	09/02/2003

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Sheet 3 of 3

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		Number	Kind Code (if known)		
		20010026632A1		Tamai	10/04/2000
		20020080256A1		Bates, et al.	12/22/2002
		20030095689A1		Vollkommer, et al.	05/22/2003
		20030120934A1		Ortiz	06/26/2003
		20020057438A1		Decker	05/16/2002
		20020132663A1		Cumbers	09/19/2002
		20030018522A1		Denimarck, et al.	01/23/2003
		20020158750A1		Almalik	10/31/2002

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